CITIC CFI Securities Company Limited 中信信期國際證券有限公司

## GENERAL SERVICE FEES & TRANSACTION CHARGES 一般服務收費及交易費用

Relevant service fees & transaction charges will be withdrawn from your accounts on the receipt day of instruction
有關服務收費及交易費用將於指令接收日從閣下賬戶中支取

Effective Date: 23 July,2026
生效日期: 2026 年 7 月 23 日

| Hong Kong Securities – Trade-Related Services<br>香港證券 – 證券交易服務 | |
|---|---|
| Brokerage<br>經紀佣金 | |
| Tele- transaction<br>電話交易 | 0.25% of transaction amount, min HK$100<br>成交金額之0.25%, 最低收費港幣100 元 |
| Internet and mobile app transaction<br>網上交易及手機應用程式 | 0.20% of transaction amount, min HK$80<br>成交金額之 0.20%, 最低收費港幣 80 元 |
| Stamp Duty<br>印花稅 | 0.1% of transaction amount (rounded up to the nearest dollar)<br>成交金額之0.1% (不足1 元亦作1 元計) |
| Transaction Levy<br>交易徵費 | 0.0027% of transaction amount (SFC)<br>成交金額之0.0027% (證監會)<br>0.00015% of transaction amount (FRC)<br>成交金額之0.00015% (財滙局) |
| Trading Fee<br>交易費 | 0.00565% of transaction amount<br>成交金額之0.00565% |
| Trading Tariff<br>交易系統使用費 | Waived<br>豁免 |
| Italian Financial Transaction Tax (IFTT)<br>(Only applies to BUY transactions)<br>(Collected for Italian Government)<br>代收意大利金融交易稅 （只適用於買盤） | 0.1% of the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day. For purchase of eligible Italian companies listed in HK.)<br>交易日淨買入股數 x 交易平均買入價 x 稅率(0.1%) (適用於香港上市的意大利公司，代意大利政府收取) |
| CCASS Stock Settlement Fee<br>中央結算系統的股份交收費 | 0.0042% of transaction amount<br>成交金額之 0.0042% |

| Hong Kong Securities – Scrip Handling and Settlement-Related Services<br>香港證券 – 處理實物股票及交收服務 | |
|---|---|
| Physical Scrip Deposit Fee<br>存入實物股票費 | Waived<br>豁免 |
| Physical Scrip Withdrawal Fee<br>提取實物股票費 | HK$5 per lot (min HK$30), odd lot will be counted as one lot.<br>每手港幣 5 元 (最低收費港幣 30 元), 碎股視作一手計算 |
| Transfer Deed Stamp Duty<br>轉手紙釐印費 | HK$5 per transfer deed<br>每張轉手紙港幣5 元 |
| Settlement Instruction Fee (stock deliver out)<br>交收指示費 (轉出股票) | 0.005% of market value (based on previous closing price) [min HK$10]<br>貨值(以上日收市價計算)之0.005% [最低收費港幣10 元] |
| Investor Settlement Instruction Fee<br>投資者交收指示費 | Waived<br>豁免 |
| Money Settlement Fee: Outward Payments<br>款項交收費: 對外支帳<br>Local bank transfer & Overseas Telegraphic Transfers<br>本地銀行轉賬及海外電匯 | Waived. Bank cost will be billed at cost (if any).<br>豁免，第三方銀行費用將會按照成本扣取（如有） |
| Compulsory Share Buy-Back<br>強制性補購股份 | Handling charge HK$200 and all other charges from Clearing house & related party(ies)<br>本公司手續費港幣 200 元+結算公司買入股票有關罰款及費用 |

| Securities – Information Services (Hong Kong stock quotes service provider is Tele-Trend Konson (Hong Kong) Limited)<br>證券 – 證券資訊服務 (港股報價服務提供為捷利港信(香港)有限公司) |
|---|
| Streaming Real Time Quote service are provided by Tele-Trend Konson (Hong Kong) Limited.<br>Hong Kong and Overseas Client Monthly Fee: HKD 360; Mainland China Client Monthly Fee: HKD 198<br>串流即時報價服務由捷利港信(香港)有限公司提供。香港及海外用戶每月港幣 360 元，中國內地用戶每月港幣 198 元。 |

| Hong Kong Securities – Other Account Services 香港證券 – 其他賬戶服務 |
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|---|---|
| Custody Fee<br>股票託管費 (倉費) | Waived<br>豁免 |
| Duplicate Statement Copy<br>結單副本 | HK$30 for each statement copy<br>每份結單副本收費港幣 30 元 |
| Audit Confirmation<br>核數證明 | HK$300 per confirmation<br>每份港幣 300 元 |
| Reference Letter<br>財務諮詢函件 | HK$300 per letter<br>每封函件港幣 300 元 |
| Dormant Account Fee<br>不動戶口費 | Waived<br>豁免 |
| Stock Segregated Account (With CCASS Statement) Service Fee<br>獨立股份戶口服務費 附寄中央結算系統結單服務 | HK$100 monthly<br>每月收費港幣 100 元 |

| **Hong Kong Securities – Nominee Services and Corporate Actions<br>香港證券 – 代理人服務及企業行動** | |
|---|---|
| Scrip Fee<br>登記過戶費 | HK$1.5 / CNY1.35* per lot (Scrip Fee – on net increase in balance in the stock since the date on which fee was last collected, odd lot will be counted as one lot.)<br>每手港幣 1.5 元 / 人民幣 1.35 元* (登記過戶費 – 以上次收款日之後, 賬戶內證券結餘的淨增股數計算,碎股視作一手計算) |
| Handling/collection of Cash/Scrip Dividends<br>處理/代收現金股息/股票股息 | 0.5% of the dividend amount (min HK$20 / CNY18*)<br>總股息之 0.5% (最低收費港幣 20 元 / 人民幣 18 元*) |
| Handling/collection of bonus shares<br>處理/代收紅股 | Waived<br>豁免 |
| Handling of Rights Issue / Rights/Warrants Exercise / Tendering Shares Under A Takeover Bid, etc.<br>處理供股權 / 行使供股/認股權 / 在收購要約下提交股份費 | HK$1/CNY0.9* per lot, plus HK$20/CNY18* handling fee, odd lot will be counted as one lot.<br>每手港幣 1 元 / 人民幣 0.9 元*, 加手續費港幣 20 元 / 人民幣 18 元*, 碎股視作一手計算 |
| Excess Rights Application<br>申請額外供股權 | Waived<br>豁免 |
| Handling Share Consolidation / Split<br>處理股份合併 / 分拆 | Waived<br>豁免 |

| **Hong Kong Securities – Other Services, including Margin and IPO Subscription Financing<br>香港證券 –其他服務之費用, 包括孖展及新股認購借貸** | |
|---|---|
| IPO subscription (financing)<br>新股認購 (借貸) | K$100 per transaction<br>每次收費港幣 100 元 |
| IPO subscription (non-financing) – by eIPO<br>新股認購 (非借貸) – 通過 eIPO | K$50 per transaction<br>每次收費港幣 50 元 |
| IPO subscription financing interest<br>新股認購借貸利息 | At prevailing market rate<br>根據當時市場利率 |
| IPO subscription - late or under payment of down deposit<br>新股認購 - 逾期或不足繳付認購按金 | Late or under payment of HK$2,000 or above, charge 0.1% per day on total amount of outstanding down deposit (No min charge)<br>欠款額達港幣 2,000 元或以上，每日收取欠款額之 0.1% (按日計算，不設最低收費) |
| Handling of unclaimed benefits entitlement<br>處理追收未領取權益 | HK$300 per claim + 0.5% of gross dividend amount + any out of pocket expenses. (Non-refundable even claim is unsuccessful)<br>每單手續費港幣 300 元+股息金額的 0.5%+其他相關之費用(不論追收成功與否均需繳付) |

| **Hong Kong Securities Interest 香港證券利息** | |
|---|---|
| Margin financing interest<br>保證金 (俗稱"孖展") 賬戶借貸利息 | Prime Rate + 2.5% per annum (if checking the daily latest prime rate, please contact client service)<br>年息為最優惠利率 + 2.5%( 查詢每日最新優惠利率, 請與客戶服務主任聯絡) |
| Additional loan interest (loan exceed margin value)<br>附加借貸利息 (超出按倉值借貸) | Margin financing interest + 5% per annum<br>保證金借貸年利息 + 5% |
| Cash Account overdue settlement interest<br>現金戶口過期交收利息 | Prime rate + 6% per annum (if checking the daily latest prime rate, please contact client service)<br>年息為最優惠利率+ 6%( 查詢每日最新優惠利率, 請與客戶服務主任聯絡) |

| **Shanghai-Hong Kong Stock Connect (Northbound Trading) 滬股通 (滬港通北向交易)** |
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|---|---|
| Brokerage Commission<br>經紀佣金 | 0.2% of transaction amount (minimum CNY 80)<br>成交金額之 0.2% (最低收費人民幣 80 元) |
| Handling Fee<br>經手費 | 0.00341% of the consideration of a transaction per side<br>雙邊成交金額 0.00341% |
| Securities Management Fee<br>證管費 | 0.002% of the consideration of a transaction per side<br>雙邊成交金額 0.002% |
| Transfer Fee<br>過戶費 | 0.001% (under ChinaClear's) & 0.002% (under CCASS) of the consideration of a transaction per side<br>雙邊成交金額 0.001%(根據中國結算) 及 0.002%(根據中央結算) |
| Stamp Duty<br>交易印花稅 | 0.05% of the consideration of a transaction on the seller<br>賣方成交金額 0.05% |
| Dividend Tax<br>紅利稅 | 10% of the dividend amount<br>股息總額 10% |
| Capital Gain Tax<br>資本增值稅 | Waived<br>暫未徵收 |
| Portfolio Fee<br>組合費 | Multiple rates for different portfolio value, maximum 0.008% (currently waived until further notice)<br>按照投資組合價值實行多等級收費 ，最高為 0.008% (現時豁免直至另行通知) |
| Money Settlement Fee: Handling Charge<br>款項交收費: 手續費 | HK$200 per transaction<br>每宗收費港幣 200 元 |
| Settlement Instruction Fee (stock deliver out)<br>交收指示費 (轉出股票) | 0.002% of market value (based on previous closing price) [minimum CNY10] and handling fee CNY 80 per stock<br>貨值(以上日收市價計算)之 0.002% [最低收費人民幣 10 元] 及手續費每只股票人民幣 80 元 |
| Dividend Collection Fee<br>股息代收費用 | 0.5% of the dividend amount (minimum HK$20 / CNY18*)<br>股息之 0.5% (最低收費港幣 20 元 / 人民幣 18 元*) |
| Handling of Rights Issue / Rights/Warrants Exercise / Tendering Shares Under A Takeover Bid, etc.<br>處理供股權 / 行使供股/認股權 / 在收購要約下提交股份費, 等 | HK$1 / CNY0.9* per lot, plus HK$20 / CNY18* handling fee<br>每手港幣 1 元 / 人民幣 0.9 元*, 加手續費港幣 20 元 / 人民幣 18 元* |
| Cash account overdue settlement interest<br>現金戶口過期交收利息 | Prime rate + 6% per annum<br>年息為最優惠利率+ 6% |

| **Shenzhen-Hong Kong Stock Connect (Northbound Trading) 深股通 (深港通北向交易)** | |
|---|---|
| Brokerage Commission<br>經紀佣金 | 0.2% of transaction amount (minimum CNY 80)<br>成交金額之 0.2% (最低收費人民幣 80 元) |
| Handling Fee<br>經手費 | 0.00341% of the consideration of a transaction per side<br>雙邊成交金額 0.00341% |
| Securities Management Fee<br>證管費 | 0.002% of the consideration of a transaction per side<br>雙邊成交金額 0.002% |
| Transfer Fee<br>過戶費 | 0.001% (under ChinaClear's) & 0.002% (under CCASS) of the consideration of a transaction per side<br>雙邊成交金額 0.001%(根據中國結算) 及 0.002%(根據中央結算) |
| Stamp Duty<br>交易印花稅 | 0.05% of the consideration of a transaction on the seller<br>賣方成交金額 0.05% |
| Dividend Tax<br>紅利稅 | 10% of the dividend amount<br>股息總額 10% |
| Capital Gain Tax<br>資本增值稅 | Waived<br>暫未徵收 |
| Portfolio Fee<br>組合費 | Multiple rates for different portfolio value, maximum 0.008% (currently waived until further notice)<br>按照投資組合價值實行多等級收費 ，最高為 0.008% (現時豁免直至另行通知) |
| Money Settlement Fee: Handling Charge<br>款項交收費: 手續費 | HK$200 per transaction<br>每宗收費港幣 200 元 |
| Settlement Instruction Fee (stock deliver out)<br>交收指示費 (轉出股票) | 0.002% of market value (based on previous closing price) [minimum CNY10] and handling fee CNY 80 per stock<br>貨值(以上日收市價計算)之 0.002% [最低收費人民幣 10 元] 及手續費每只股人民幣 80 元 |

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|---|---|
| Dividend Collection Fee<br>股息代收費用 | 0.5% of the dividend amount (minimum HK$20 / CNY18*)<br>股息之 0.5% (最低收費港幣 20 元 / 人民幣 18 元*) |
| Handling of Rights Issue / Rights/Warrants Exercise / Tendering Shares Under A Takeover Bid, etc.<br>處理供股權 / 行使供股/認股權 / 在收購要約下提交股份費, 等 | HK$1 / CNY0.9* per lot, plus HK$20 / CNY18* handling fee<br>每手港幣 1 元 / 人民幣 0.9 元*, 加手續費港幣 20 元 / 人民幣 18 元* |
| Cash account overdue settlement interest<br>現金戶口過期交收利息 | Prime rate + 6% per annum<br>年息為最優惠利率+ 6% |

| **ETFs (Northbound Trading) 中華通 (ETF 通北向交易)** | |
|---|---|
| Brokerage Commission<br>經紀佣金 | 0.2% of transaction amount (minimum CNY 80)<br>成交金額之 0.2% (最低收費人民幣 80 元) |
| Handling Fee<br>經手費 | 0.004% of the consideration of a transaction per side<br>雙邊成交金額 0.004% |
| Securities Management Fee<br>證管費 | Waived<br>暫未徵收 |
| Transfer Fee<br>過戶費 | Waived (under ChinaClear's) & 0.002% (under CCASS) of the consideration of a transaction per side<br>暫未徵收(根據中國結算) 及 0.002%(根據中央結算) |
| Portfolio Fee<br>組合費 | Waived<br>暫未徵收 |
| Stamp Duty<br>交易印花稅 | Waived<br>暫未徵收 |

| **United States 美國** | |
|---|---|
| Brokerage Commission<br>經紀佣金 | 0.2% per transaction (minimum USD 20)<br>每宗成交之 0.2% (最低收費 20 美元) |
| Settlement Fee<br>交收費 | Waived<br>豁免 |
| SEC Fee<br>SEC 費用 | USD 0.0000206 per share (for SALE trade ONLY) (Rounded up to the nearest USD0.01, Minimum USD0.01)<br>每股 0.0000206 美元(只適用於賣方) (費用四捨五入至最接近的 0.01 美元，最低收費 0.01 美元) |
| Trading Activity Fee<br>交易徵費 | USD 0.000195 per share (for SALE trade ONLY) (Minimum USD 0.01 and Maximum USD 9.79)<br>每股 0.000195 美元(只適用於賣方) (最低收費 0.01 美元和最高收費 9.79 美元) |
| U.S. stock pre-market\after-market trading fees<br>美股盤前\盤後交易費用 | USD0.003 per share<br>每股 0.003 美元 |
| U.S. stock night session trading fee<br>美股夜盘交易费 | USD0.005 per share<br>每股 0.005 美元 |
| ADR Depository Fee<br>美國預託證券存管費 | USD0.02 to 0.05 per share (if applicable)<br>每股 0.02 至 0.05 美元 (如適用) |
| DTC Deposit Fee<br>DTC 存入費 | USD30 per trade<br>每宗交易 30 美元 |
| DRS Deposit Fee<br>DRS 存入費 | USD75 per trade<br>每宗交易 75 美元 |
| DWAC Deposit Fee<br>DWAC 存入費 | USD75 per trade<br>每宗交易 75 美元 |
| Electronic Withdrawal Fee<br>電子提出費 | USD100 per trade<br>每宗交易 100 美元 |
| Custodian Fee<br>託管費 | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |
| Dividend Collection Fee<br>股息代收費用 | 1% of gross dividend (minimum USD 15)<br>股息之 1% (最低收費 15 美元) |

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|---|---|
| Dividend Tax<br>股息税 | 30% of gross dividend<br>股息之 30% |
| ADR Annual Service Fee<br>美國預託證券週年服務費 | USD0.02 per share (if applicable)<br>每股 0.02 美元 (如適用) |
| DTC Envelope Settlement Service (ESS) fee<br>DTC 結算服務費 | USD100 per transaction per related securities<br>每只相關股票之每宗交易 100 美元 |
| Cash account overdue settlement interest<br>現金戶口過期交收利息 | Prime rate + 6% per annum<br>年息為最優惠利率+ 6% |
| Consolidated Audit Trading (CAT) Fees<br>綜合審計跟蹤費用 | USD 0.000004 per share (minimum USD 0.01)<br>每股 0.000004 美元 (最低收費 0.01 美元) |

As the regulatory environment continues to evolve, with customer protection as one of its primary mandates, we will no longer accept buy transaction in a security with a market cap below US$50 million AND the price per share less than $0.50.

為配合動態監管環境要求及進一步加強投資者保障，將不再接受低於 5000 萬美元的市值且每股價格低於 0.50 美元的美國股票買入服務。

| **Australia 澳洲** | |
|---|---|
| Brokerage Commission<br>經紀佣金 | 0.5% per transaction (minimum AUD 60)<br>每宗成交之 0.5% (最低收費 60 澳元) |
| Settlement Fee<br>交收費 | AUD 30 per trade<br>每宗交易 30 澳元 |
| Dividend Collection Fee<br>股息代收費用 | 0.75% of gross dividend (minimum AUD 20)<br>股息之 0.75% (最低收費 20 澳元) |
| Dividend Tax<br>股息税 | 30% of gross dividend<br>股息之 30% |
| Electronic Deposit Fee<br>電子存入費 | AUD 50 per trade<br>每宗交易 50 澳元 |
| Electronic Withdrawal Fee<br>電子提出費 | AUD 50 per trade<br>每宗交易 50 澳元 |
| Custodian Fee<br>託管費 | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |

| **Japan 日本** | |
|---|---|
| Brokerage Commission<br>經紀佣金 | 0.3% of transaction amount (minimum JPY6,000)<br>成交金額之 0.3% (最低收費 6,000 日圓) |
| Settlement Fee<br>交收費 | JPY2,300 per trade<br>每宗交易 2,300 日圓 |
| Dividend Collection Fee<br>股息代收費用 | 0.75% of gross dividend (minimum JPY2,000)<br>股息之 0.75% (最低收費 2,000 日圓) |
| Dividend Tax<br>股息税 | 15.315% of gross dividend<br>股息之 15.315% |
| Electronic Deposit Fee<br>電子存入費 | JPY 5,000 per trade<br>每宗交易 5,000 日圓 |
| Electronic Withdrawal Fee<br>電子提出費 | JPY 5,000 per trade<br>每宗交易 5,000 日圓 |
| Custodian Fee<br>託管費 | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |

* Service charges are subject to denominated currency.
* 服務收費以計價貨幣計算。

Notes 備註:

1. For enquiries, please call our Customer Services Hotline at 852-2286 3288/ 400-666-6698 or visit our office in person.
   如欲查詢，歡迎致電客戶服務熱線 852-2286 3288/ 400-666-6698 或親自前往公司諮詢。
2. The prices of securities fluctuate, sometimes dramatically. The prices of securities may move up or down, and may become valueless.
   證券價格時刻波動，有時候甚至有極大波動。證券價格可升可跌，甚至變成毫無價值。
3. Out-of-pocket and third party expense will be billed at cost separately from customer (if applicable).
   代付費用或第三方費用將分別按成本向客戶收取(如適用)。
4. Fees and charges are subject to change from time to time. Please check our website, https://www.cfisec.com.hk/.
   收費及費用可隨時更改， 請登陸 https://www.cfisec.com.hk/網站查詢最新收費。
5. Service to be provided at sole discretion of CITIC CFI Securities Company Limited. Client has to submit relevant supporting document as required by CITIC CFI Securities Company Limited (e.g. properly stamped contract notes/instrument of transfer, original written instruction from account holder).
   中信信期國際證券有限公司全權酌情決定是否提供此服務。客戶須提交中信信期國際證券有限公司所需之相關支持文件 (如已付印花稅之成交單據／轉手紙，戶口持有人之書面指示正本) 。
6. Out-of-pocket and third party expense will be billed at cost separately (if applicable).

代付費用或第三方費用將分別按成本收取（如適用）。

7. Overseas Stocks – safe custody fees and other charges are determined based on amounts levied by brokers or local regulators in the specific locality.
海外證券 – 股份託管費用及其他費用視乎當地經紀或監管機構徵費而訂。