

**Securities Settlement Instruction (S.I.) / Investor Settlement Instruction (I.S.I.)**  
**證券交收指示(SI) / 投資者交收指示(ISI)**

致: 中信信期國際證券有限公司 (CCASS ID: B02213)

TO: CITIC CFI Securities Company Limited

|                                 |   |                     |  |
|---------------------------------|---|---------------------|--|
| 客戶名稱<br>Account Name            |   | 賬戶號碼<br>Account No. |  |
| <input type="checkbox"/> S.I.   | 請將下列證券收到本人/吾等上述證券賬戶   |                     |  |
| <input type="checkbox"/> I.S.I. | Please <b>RECEIVE</b> the following securities for my /our above securities account |                     |  |
| <input type="checkbox"/> S.I.   | 請從本人/吾等上述證券賬戶交付證券   |                     |  |
| <input type="checkbox"/> I.S.I. | Please <b>DELIVER</b> the following securities from my/our above securities account |                     |  |

**交收指示資料 Details for Settlement Instruction**

**交收指示生效日期 SI Effective Date:**

|                               |                 |
|-------------------------------|-----------------|
| 交收公司名稱 Name of Counter-party: | 中央結算編號 CCASS ID |
| 聯絡人姓名 Contact Person          | 聯絡人電話 Phone No  |

有關股票資料如下 Details of share(s) \*請用正楷填寫，並在適當的地方加上剔號。Please complete in **block letters** and tick where applicable.

| <u>股票代號</u><br><u>Stock Code</u> | <u>股票名稱</u> Stock Name  | <u>股票數目</u> Quantity |
|----------------------------------|---|----------------------|
|                                  |   |                      |
|                                  |   |                      |
|                                  |   |                      |
| <b>交收方式 Settlement Method</b>    | <input type="checkbox"/> 無須付款 Free of payment <input type="checkbox"/> 貨銀對付 Against payment of<br>港幣 HK\$ |                      |

本人/吾等確認上述股票的實益擁有權並沒有因進行交收指示而出現變動。本人/吾等亦承諾就本交收指示所可能令貴公司蒙受或承擔的任何費用或開支，當中包括但不限於印花稅，向貴公司作出彌補及使之獲得彌償。

I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

向中央結算申請登記及過戶費用豁免  
Apply Scrip Fee Concession with CCASS<sup>3</sup>

**客戶簽署及用印 (如適用) Client's Signatures with chop (if applicable)**

注意 Note:

- 即日執行之指示需於中午十一時前交付我司  
Please note that instruction for same day settlement must reach us before 12:00 noon on the settlement day.
- 原有證券公司或銀行之登記姓名必須與您的中信信期國際證券有限公司戶口之登記姓名相同  
The account name of where the securities is being transferred from must be exactly the same as the beneficiary account name with CFIS.
- 如客戶須轉入之股票已繳交登記及過戶費每手港幣 1.50 予中央結算，客戶可於股票轉入本公司前申請登記及過戶費用豁免，登記及過戶費用豁免每次申請手續費為港幣 1,500 元，申請需至少提前 10 個工作天。  
If you have paid the scrip fee of HK\$1.50 per board lot to CCASS for the stock to be transferred. You may apply for the Scrip Fee Concession before the stock transferred to our company. The handling charges for the application of Scrip Fee Concession is HK1,500 per application and require 10 working days.

| 僅內部使用        |                                      |            |            |
|--------------|--------------------------------------|------------|------------|
| 業務發展部<br>MIC | 審批意見：<br>簽署：                         | 日期：        |            |
| 客戶服務部        | 審批意見(運營總監)：<br>簽署：<br>客戶簽名及身份核對經辦人員： | 日期：<br>日期： |            |
| 風險管理部<br>MIC | 審批意見(如需)：<br>簽名：                     | 日期：        |            |
| 財務部<br>MIC   | 審批意見(如需)：<br>簽署：<br>操作：              | 日期：<br>日期： | 覆核：<br>日期： |
| 結算交收部        | 操作：                                  | 日期：        | 覆核：<br>日期： |